



General Terms for UZH Entrepreneur Fellowships

BioTech and MedTech

With the UZH Entrepreneur Fellowships in BioTech and MedTech, the University of Zurich (UZH) provides funding, advice, and resources to young researchers in life sciences and related fields who intend to start up a company based on research carried out at UZH. The Entrepreneur Fellows (*the Fellows*) are given the opportunity, as employees at the University of Zurich, to develop a proof-of-concept for their novel product or service, to strengthen the value proposition and establish a relevant network before founding the company. The UZH Entrepreneur Fellowship is awarded by the Vice President Research and managed by the UZH Innovation Hub Office.

These General Terms apply to all recipients of the UZH Entrepreneur Fellowships BioTech and MedTech, *hereinafter referred to as Fellowship/s*.

1. Employment

- 1.1. The Fellow is employed at the UZH at least for the period of the Fellowship.
- 1.2. All persons in the project that obtain salaries from this funding are hired at the UZH. The general employment conditions apply. Exceptions are made for e.g. consultants who work on the project. In such cases, an agreement regulating confidentiality and intellectual property need to be set before the work is started. The Fellow may contact Unitectra to get support on how to draft such an agreement.
- 1.3. The Fellowship project is the main occupation of the Fellow.

2. Rights to results developed during the Master's Thesis

- 2.1. In the case that the project to be developed during the Fellowship is based on or depends on the results of the Master's Thesis of the Fellow, the following applies: In order to enable the use and commercialization of the results of the Fellowship, the Fellow is obliged to assign to UZH all utilization and commercialization rights to the results of work undertaken by the Fellow in the course of the Master's Thesis.

3. Project budget, accounting and financial reporting

- 3.1. The Fellowship amounts to CHF 150'000 and is only to be used for the approved project.
- 3.2. The Fellow is free to decide on how to spend the money to best achieve the goal of the project (e.g. for his/her own salary, the employment of co-workers or for consumables).
- 3.3. To fill potential gaps in the project funding, co-financing by other funding sources is allowed. However, any additional funding may only be used if i) it matches the objectives of the Fellowship, and ii) if by such funding neither the funding source nor any other third party are granted any rights in the results of the project. Additional funding must be declared at the time of application, or in the Interim and Final Report, if obtained during the report period.
- 3.4. Bills for materials or requests for the reimbursement of expenses (e.g. travel expenses) must be submitted to the Finance Office.
- 3.5. In the Interim and Final Report, the Fellow has to show how the funds were spent.



4. Financial responsibility and reimbursement of funds

- 4.1. The Fellow is responsible to plan the use of the funds so that it covers the whole project period and planned work. One month after project start, the Fellow is to hand in a Project plan & Budget plan to the UZH Innovation Hub Office.
- 4.2. This funding is part of the university resources, which are subject to the Financial Guidelines of UZH. The Fellow owns the project account and is responsible for managing it (i.e. controlling the account balance and the financial clearance of the payment transactions). For queries about the SAP system, the Fellow may contact the person responsible for finances at the institute, department or clinic.
- 4.3. The total amount of CHF 150'000 must never be exceeded. Otherwise, an unjustified negative balance of the financial account at the end of the Fellowship will have to be settled according to the UZH's general rules for University of Zurich dealing with deficits of third-party funded project: <https://www.fi.uzh.ch/de/dm/schliessen.html> (website in German only).
- 4.4. In case of an early termination of the Fellowship or if for other reasons there is a positive balance at the end of the Fellowship any remaining funds expire and have to be transferred back to the Innovation Hub Office.
- 4.5. The UZH may ask the recipient, in accordance with the applicable legal provisions, to reimburse the funding or a part of it if i) the Fellow does not show progress on the deliverables defined in the project plan submitted at the beginning of the Fellowship. Or ii) the Fellow does not comply with the General Terms for the UZH Entrepreneur Fellowship.

5. Start and duration of the Fellowship

- 5.1. The start of the project must be no later than six months after the Fellowship was awarded.
- 5.2. If the applicant has previously been awarded with an Innovation Grant, he/she needs to submit the Final Report for the Innovation Grant before the start the Fellowship.
- 5.3. The Fellowship is given for a duration of 18 months.

6. UZH Host

- 6.1. In the application process, a professor or senior researcher at UZH declares his/her intent to serve as a Host for the Fellow during the project.
- 6.2. The Fellow is to meet with his/her Host regularly and provide progress reports at least every second month.
- 6.3. The UZH Host is invited to participate in the Review Meeting.

7. Workshops and Trainings

- 7.1. The Product Lab is organized in September each year and consists of five full-day workshops and individual work. In the lab, Fellows work on their projects individually, in groups and guided by experts and entrepreneurs to create a strong product profile, identify gaps and come up with a detailed plan and deliverables until the Review Meeting (six months into the Fellowship). The participation in the Product Lab is required for all Fellows. Each Fellow is expected to dedicate at least 50 % of their time to this work.
- 7.2. The BioEntrepreneurship & Innovation (BEI) Program conveys essential knowledge and skills needed to successfully kick off a university Spin-off in the area of BioTech and MedTech. The two modules A and B of the training program are consecutive. The participation in the full program is compulsory for every fellow. Any issues related to timing of the modules in relation to the Fellowship shall be addressed to the UZH Innovation Hub Office in due time.



7.3. The Fellow is expected to regularly participate in the Innovator Mornings organized by the UZH Innovation Hub Office and the Spark Nights organized by the Translational Medicine Accelerator (TMA).

8. Advice

8.1. The Translational Medicine Accelerator (TMA) provides Fellows with professional expertise in project management and business development as well as support regarding fundraising and industry relations. Every Fellow is expected to meet with TMA at least twice before handing in their Interim Report.

8.2. The protection and licensing of the results of the project is managed by Unitectra. The tech transfer managers at Unitectra will support the Fellows to develop an intellectual property (IP) strategy. Therefore, every Fellow needs to schedule a kick-off meeting with Unitectra during the first month of the Fellowship to lay out a plan for regular exchange throughout the funding period. If no regular exchange is needed, the project is to schedule an update with Unitectra every six months.

9. Intellectual property

9.1. The rights to the research results and any intellectual property created in the course of work funded by the Fellowship (including but not limited to inventions, algorithms, software, data, documents and know-how) are owned by the UZH. Any commercial use of such research results and intellectual property by a company is subject to a written agreement between the UZH and the company. The usual UZH licence terms apply, including for example granting equity to UZH in case of licensing to a spin-off company.

9.2. The publishing of software created in the course of the Fellowship under an open source license is subject to prior approval by UZH (contact point is Unitectra).

10. Company incorporation

10.1. The Fellow may decide to set up a company with the intention to commercialize the innovation developed before and during the Fellowship. The company incorporation is not an activity of the university and hence any costs related to the incorporation can not be funded by the Fellowship.

10.2. If a company is incorporated already during the Fellowship project period, with the intention to work in parallel on the same subject as the project, such planned parallel work must first be fully disclosed and discussed with UZH (UZH Innovation Hub Office and Unitectra) and can not start before UZH has given its written approval.

11. Reporting

11.1. The Fellow hands in a confirmation of the Project plan one month after project start. Support on how to prepare the Project plan is provided during the Product Lab.

11.2. A Interim Report is to be handed in by the Fellow to the UZH Innovation Hub Office five months after the project start. A template with recommendations for how to prepare this report can be found at the [UZH EF Info Desk](#). The Interim Report serves as a basis for the Review Meeting.

11.3. A Final Report providing information on finances and concluding the project achievement has to be submitted by the Fellow to the UZH Innovation Hub Office within six weeks after the completion of the Fellowship. A form to prepare the Final Report is provided at the [UZH EF Info Desk](#).

11.4. Any major changes to the project related to IP, finance or the team (e.g. novel difficulties to protect technology, expected third party funding was not obtained, team member leaves the team) should be reported with no delay. A written report explaining the changes is to be handed in to the Innovation Hub Office e.g. via email.



12. Review Meeting

- 12.1. No later than six months after project start, the Fellow will share the status of his/her project in a Review Meeting. The Review Meeting committee consists of representatives from the TMA, BEI Program, Unitectra, the UZH Host and the UZH Innovation Hub Office. Based on the Interim Report and the Review Meeting, the Fellow is given consolidated advice for the next project period. The Review Meeting committee may decide to give the Fellow deliverables to follow up on in due time.